

P20726.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P20726 Total Pages

Inventor(s) or Application Identifier
Masatoshi OZAWA

Title: A SERVER APPARATUS TO DISTRIBUTE MUSIC DATA

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

JC903 U.S. PTO
09/09/2017

06/28/01

APPLICATION ELEMENTS**ACCOMPANYING APPLICATION PARTS**

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
 - a. Small Entity Statement(s)
3. Specification [Total Pages 33]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 USC 113) [Total Sheets 9]
5. Oath or Declaration [Total Pages 3]
 - a. Newly executed (original or copy) Unexecuted
 - b. Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 20 completed)

[Note Box 6 below]
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. Incorporation By Reference (useable if Box 5b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. Application Data Sheet. See 37 CFR 1.76
8. CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
9. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies
10. Assignment Papers (cover sheet & document(s))
11. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney
12. English Translation Document (if applicable)
13. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
14. Preliminary Amendment
15. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
16. Figure of Drawing to be published 1
17. Foreign priority claimed
 - a. Claim of Priority
 - b. Certified Copy of Priority Document(s)
18. Assignee: MATSUSHITA GRAPHIC COMMUNICATION SYSTEMS, INC. OF Tokyo, JAPAN
19. Other: Cover Letter Regarding Executed Application

20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior Application No. /, filed .
Prior application information: Examiner: Group Art Unit:

21. Amend the specification by inserting before the first line the sentence:
This application is a continuation-in-part, continuation, divisional, of Application No. /, filed .

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

6/28/01
Date

Bruce H. Bernstein, Reg No. 29,027
Signature 33,329
Typed or Printed Name

P20726.P02

FEE TRANSMITTAL

		Complete if Known			
		Application Number		Not Yet Assigned	
		Filing Date		Concurrently Herewith	
		First Named Inventor		M. OZAWA	
		Group Art Unit		Unassigned	
		Examiner Name		Unassigned	
TOTAL AMOUNT OF PAYMENT		(\$830.00)		Attorney Docket Number	
				P20726	

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))
- Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)
- Applicant Claims Small Entity Status
See 37 CFR 1.27.

2. Payment Enclosed: Check Credit Card Money Order Other

FEE CALCULATION (fees effective 12/29/99)

1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	201	355	Utility filing fee	<u>710</u>
106	206	160	Design filing fee	<u> </u>
107	207	245	Plant filing fee	<u> </u>
108	208	355	Reissue filing fee	<u> </u>
114	214	75	Provisional filing fee	<u> </u>

SUBTOTAL (1) (\$)710

2. CLAIMS

Total Claims	-20=	Extra	Fee from below	Fee Paid
9	-20=	0	x 18	= 0
Independent 4	-3=	1	x 80	= 80

Claims x = Multiple Dependent Claims x =

Large Entity Fee Code	Small Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)80

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR
113	1,840*	113	1,840*	Prior to Examiner action
115	110	215	55	Requesting publication of SIR after Examiner action
116	390	216	195	Extension for response within 1st month
117	890	217	445	Extension for response within 2nd month
118	1,390	218	695	Extension for response within 3rd month
128	1,890	228	945	Extension for response within 4th month
119	310	219	155	Extension for response within 5th month
120	310	220	155	Notice of Appeal
121	270	221	135	Filing a brief in support of an appeal
138	1,510	138	1,510	Request for oral hearing
140	110	240	55	Petition to institute a public use proceeding
141	1,240	241	620	Petition to revive unavoidably abandoned application
142	1,240	242	620	Petition to revive unintentionally abandoned application
143	440	243	220	Utility issue fee (or reissue)
144	600	244	300	Design issue fee
122	130	122	130	Plant issue fee
123	50	123	50	Petitions to the Commissioner
126	240	126	240	Petitions related to provisional applications
581	40	581	40	Submission of IDS
146	710	246	355	Recording each patent assignment per property (times number of properties)
149	710	249	355	Filing a submission after final rejection (37 CFR 1.129(a))
179	710	279	355	For each additional invention to be examined (37 CFR 1.129(b))
169	900	169	900	Request for Continued Examination (RCE)
				Request for expedited examination of a design application
				Other fee (specify) _____
				Other fee (specify) _____
				SUBTOTAL (3) (\$) <u>40</u>

*Reduced by Basic Filing Fee paid

SUBMITTED BY		Complete (if applicable)		
Typed or Printed Name		Bruce H. Bernstein		Reg. Number
Signature		Leslie J. Depeway, Reg. No.	Date	Deposit Account User ID

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Masatoshi OZAWA

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : A SERVER APPARATUS TO DISTRIBUTE MUSIC DATA

COVER LETTER REGARDING EXECUTED APPLICATION

Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

The undersigned points out that the executed application being filed herewith includes page 3 of the executed declaration which was forwarded by facsimile, and pages 1-3 of the declaration and pages 1 - 33 of the specification (with Figures 1 - 10) which were provided by the law firm of the undersigned. It is noted that the pages submitted by the law firm of the undersigned are identical to those sent to the assignee of the present application except that the priority application number on page 29 of the specification has been corrected to correspond to the executed declaration. Further, the execution date on the declaration is an obvious error and should be June 27, 2001 instead of July 27, 2001. The undersigned has been advised that the inventor had reviewed the declaration and application before executing the declaration.

Accordingly, Applicant respectfully submits that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent

P20726.A02

and Trademark Office determines that the present application is not properly executed, Applicant respectfully requests that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at the below listed number.

Respectfully submitted,
Masatoshi OZAWA

Leslie M. Popper Reg. No. 33,329
Bruce H. Bernstein
Reg. No. 29,027

June 28, 2001
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, Virginia 20191
(703) 716-1191